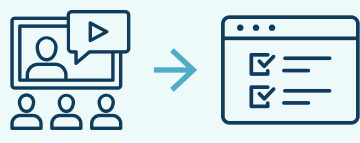
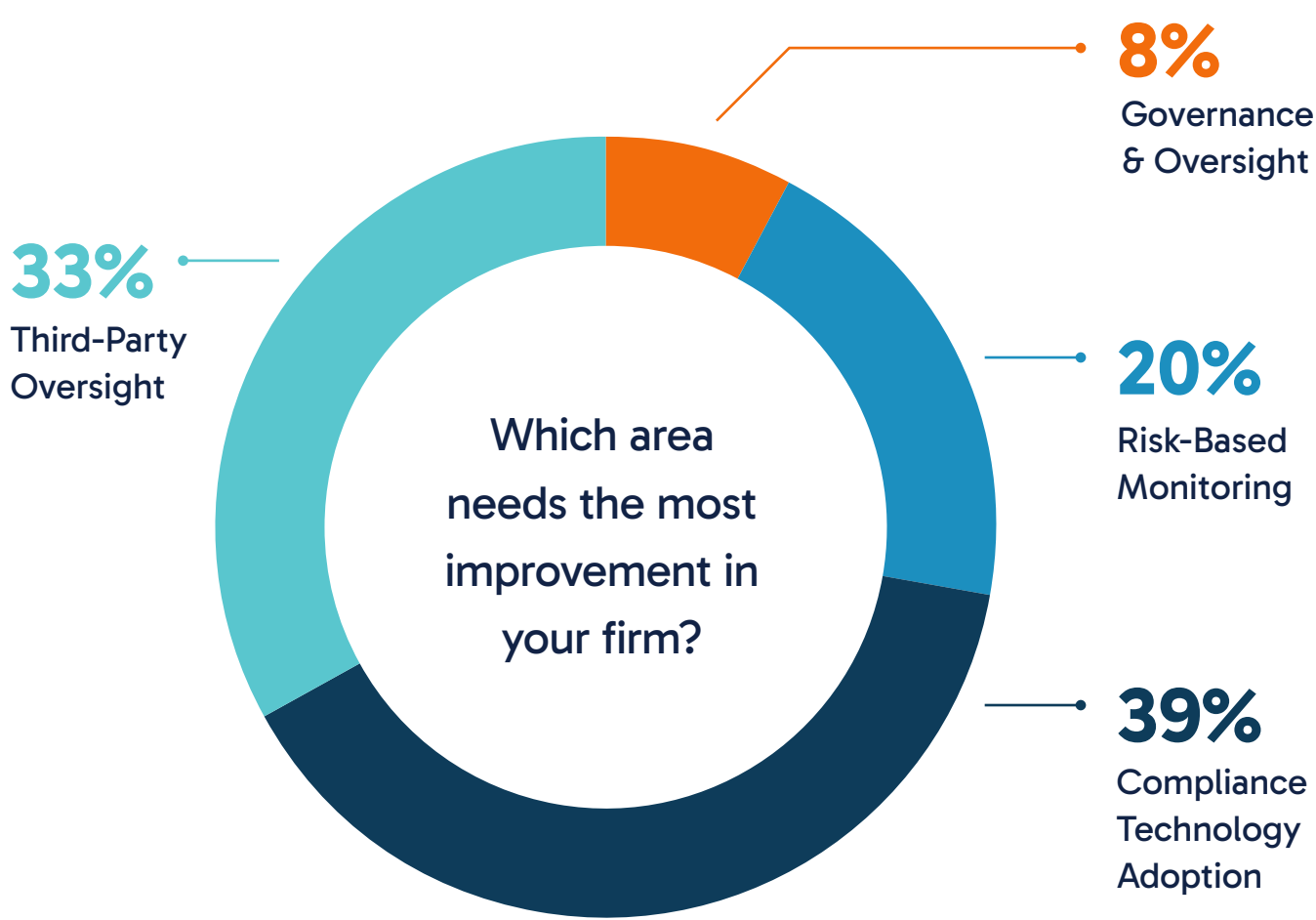




Survey Spotlight: Where Firms Struggle Most... From Tech Gaps to Vendor Oversight



During our webinar on **Proactive Compliance Monitoring**, attendees were invited to complete a short survey, where the findings revealed:



The audience acknowledged the need to adopt technology to support compliance monitoring which indicates that digital transformation in compliance is still a work in progress for many firms.

Priscilla Gaudoin, Ruleguard's Head of Risk and Compliance



A significant portion of the audience considers real-time monitoring a weakness, which limits their ability to detect and respond to risks promptly. This gap could expose firms to delayed detection of breaches, or unmanaged emerging risks, which are areas of regulatory concern.

Priscilla Gaudoin, Ruleguard's Head of Risk and Compliance



Take the complexity out of compliance

Find out how Ruleguard's Compliance Monitoring software provides robust oversight of business activities, embedding a culture of continuous compliance and risk management across your firm. Take a pre-emptive approach to minimise risks, protect your consumers and adhere to regulatory requirements.

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