



Unveiling the biggest risks of insider trading and how to prevent market abuse





Following our webinar on

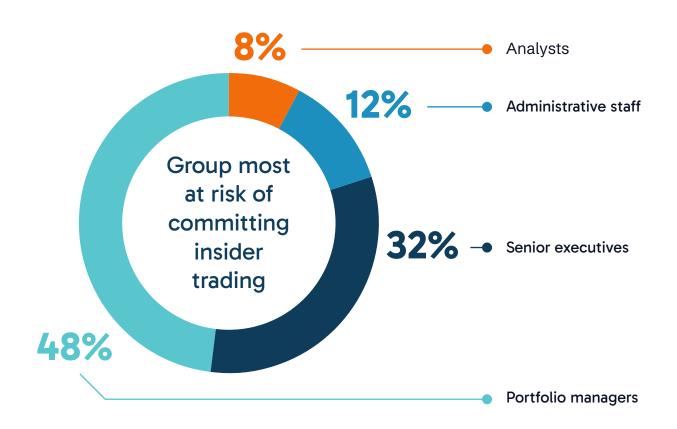
Financial Crime: Preventing Market Abuse,
participants were asked to complete
a short survey. The results revealed:



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These results reinforce the need for firms to be vigilant by implementing robust policies and procedures, supported by regular surveillance and monitoring.

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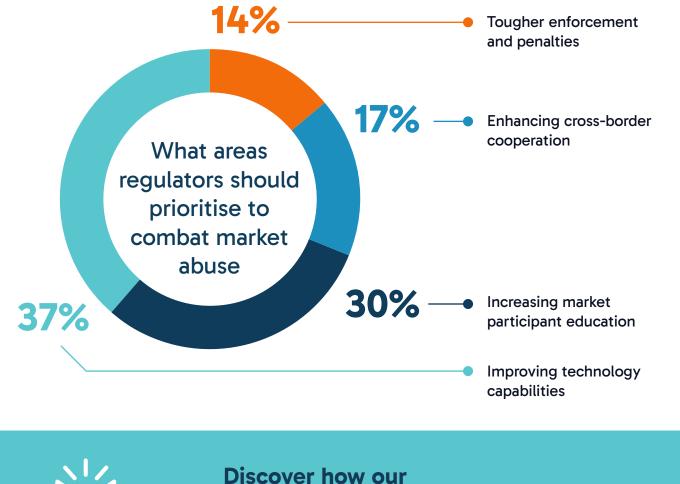


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market abuse at executive level to ensure the leadership team understand the market abuse risk both to the firm and to the individual. Individual attestations help to confirm that policies have been read and understood.

Additionally, firms must raise awareness and understanding of







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