



Unveiling the key challenges and threats that firms face when managing third party risk.





During our webinar on Third Party Risk, attendees were invited to complete a brief survey. The findings revealed:

5% Supply chain & geopolitical risks overlooked

7%

Struggling with the evolving regulations

17% Limited oversight of vendors

20%

Insufficient automation & continuous monitoring

Which of the following scenarios best describes your firm's current status regarding third party risk management?

Increased awareness, but gaps

remain

Awareness is growing, but firms need to take practical steps to identify third party risks, understand how to mitigate them and monitor controls to assess the ongoing risk from third parties. Ongoing monitoring includes reviewing material relating to complaints, issues and failures in processes to help understand where the gaps lie.

Priscilla Gaudoin, Ruleguard's Head of Risk and Compliance

21% 17% Ongoing Contingency plans oversight for managing third party failure Which poses the greatest threat to your firm when managing third party risk? 37% 25% Ensuring vendors meet Cybersecurity & data privacy risks compliance standards

> To gain assurance that third parties are meeting expected standards, firms really need an effective compliance monitoring programme, with good open communication channels and the right management information. This helps to provide assurance, but also build trust.

Priscilla Gaudoin, Ruleguard's Head of Risk and Compliance





Discover how Ruleguard's Client Assurance Portal Solution helps you seamlessly demonstrate adherence to global regulations, while our Supplier **Oversight Solution enables you to track** third-party providers and ensure protection against foreseeable harm to retail customers in line with cross-cutting rules.



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